

Wednesday, March 21, 2018

5:00pm - 7:30pm

Seyfarth Shaw LLP | 233 South Wacker Drive | Suite 8000 | Chicago, IL 60606

The Fiduciary Role Directors Ignore at their Peril: Employee Retirement Plans



Speaker

Brad Mautner
Board member
and former CEO
of Perma Pipe Int'l
(NASDAQ:PPIH)



Speaker

Liz Levy-Navarro
Board Member and
CEO, Orrington
Strategies LLC



Speaker

James Holland
Director, Millennium
Investment Advisors



Speaker

Diane Dygert
Partner, Seyfarth Shaw LLP



Moderator

Mark Zorko
Principal, Brentwood
Advisory Group

Upcoming Events

DATE	TIME	EVENT	LOCATION
Wednesday, March 21, 2018	5:00 - 7:30pm	Monthly Meeting	Seyfarth Shaw LLP
Wednesday, April 25, 2018	5:00 - 7:30pm	Monthly Meeting	Synergy Law Group - PNC Bank University Club
Wednesday, May 23, 2018	5:00 - 7:30pm	Monthly Meeting	Grant Thornton
Wednesday, June 20, 2018	5:00 - 7:30pm	Monthly Meeting	Bank of America
Thursday, August 09, 2018	11:30am - 6:30pm	Annual Golf Outing	Rolling Green Country Club

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Speaker: Brad Mautner | Board member and former CEO of Perma Pipe Int'l (NASDAQ:PPIH)

Brad Mautner's career reflects substantial accomplishments leading, running operations and in corporate governance for both private and public companies. From an operational leadership standpoint, Brad has been instrumental in developing and driving strategic and tactical business plans while instituting financial, operational, and management processes to drive business results. His career began in 1978 with Midwesco, Inc. the predecessor company of MFRI, Inc.

MFRI was a public holding company that owned operating subsidiaries which designed and manufactured custom industrial products in filtration, specialty piping, process cooling equipment and installation of mechanical systems for commercial buildings. He led a series of transactions to reposition the company to focus on the specialty piping systems business

which is now Perma Pipe International Holdings (NASDAQ: PPIH) where he continues as a Board member. Brad served as President and Chief Executive Officer of MFRI, Inc. from 2013 to 2016 and was President and COO since 2004. He was a member of the investment and oversight committee for the companies 401k and defined benefit pension plans.

In addition to work at MFRI and PPIH, Brad served on a number of committees and boards of charitable organizations as well as the board of a private, closely held family business and a startup in the InsureTech space. Brad earned his Masters of Business Administration from Washington University in St. Louis where he also earned his Bachelors of Science degree in Mechanical Engineering.



Speaker: Liz Levy-Navarro | CEO, Orrington Strategies, and Board Member

Liz Levy-Navarro is CEO of Orrington Strategies, and a Board Member of three mid-market private companies, Gold Eagle Company, Alper Services and Burke Distributor Holdings. She is a value creation and investment expert who works with Fortune 500 insurance, investment management, banking, and consumer products leaders to help them grow their businesses and brands. Liz was honored as a 2017 "Directors to Watch" in the Private Company Director, a leading board governance magazine.

Liz holds a MBA in Finance from the Wharton School, University of Pennsylvania, and a BBA in Marketing from University of Michigan. She has also served as an Investment Fiduciary for Orrington's 401k, profit sharing and defined benefits plans.



Speaker: James Holland | Director, Millennium Investment Advisors

James Holland is a partner, Assistant Compliance Officer and Director of Business Development for Millennium Investment & Retirement Advisors LLC. He has over 27 years of experience in the Investment and Benefits business. James oversees the Retirement Plan practice building program MIRA has developed for its outside Advisor partners. In addition he helps to coordinate the ERISA Audit partnering program which MIRA has launched for CPA firms nationwide. He is one of the inventors of the PlanGrader, a patent pending independent assessment program that is used to help

fiduciaries better understand the responsibilities and liabilities of running a retirement plan. James has spoken at ASPPA, NAPA, Vistage and SHRM chapters and conferences in NY, OH, NC, SC, GA, FL, VA. James and MIRA do extensive work assisting plan sponsors with regard to plan issues and corrections with the I.R.S. and Department of Labor.



Speaker: Diane Dygert | Partner, Seyfarth Shaw LLP

Diane Dygert is a partner at Seyfarth Shaw LLP and a member of the Employee Benefits & Executive Compensation Department. Diane has substantial experience with both qualified and nonqualified retirement plans, helping establish, implement and administer these programs. She works with clients on establishing and conducting appropriate fiduciary compliance processes—critically important in this era of intense scrutiny. She has defended clients in audits with government agencies, including the IRS and DOL. Diane also focuses on executive compensation matters,

including employment and severance agreements, stock options, restricted stock, and performance-based compensation. She is a co-founder of the Health Care Reform Task Force and focuses much of her practice on health and welfare plans compliance, including the Affordable Care Act (known as Obamacare) and the proposed modifications to the ACA. Diane is also involved in the international aspects of employee benefits issues. Diane received her B.S. from Cornell University and her J.D. from the University of Michigan Law School.



Moderator: Mark Zorko | Principal, Brentwood Advisory Group

Mark Zorko is in the Board and Chair of the Nominations/Governance Committee of Perma Pipe Int'l Holdings (NASDAQ: PPIH). He is also on the Board and Chair of the Audit Committee for Westell Technologies (NASDAQ: WSTL).

Mark has been a public company CFO and CIO for manufacturing, service, health care and high-tech firms. His background includes over 20 years of Board experience. He has also been the interim CEO of Well Services Ltd.

Mark recently launched a new business, Brentwood 401(k), LLC., www.brentwood401k.com, to provide middle market firms with 401(k) plan

advisory services, from the perspective of a former CFO. Brentwood 401(k) is partnered with several firms which provide comprehensive ERISA Section 3(38) fiduciary responsibility to companies as well as a low-cost suite of passive or indexed-based investment selections.

He also serves on the Audit Committee for Opportunity International and was previously on the Board of St. Alexius Medical Center.

Mark is a CPA. He received his MBA in IT from the University of Minnesota and his BS in Accounting from The Ohio State University.